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RE: APPLICATION No. 10/789,317
FILED: 02-27-2004I hereby certify that this document and all documents listed below are being transmitted via facsimile to
Commissioner for Patents, fax no. (571) 273-8300, on June 1, 2006By: Jennie Heaton
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SUBMITTED HERewith FOR THE ABOVE-CAPTIONED APPLICATION:

- Transmittal Form
- Information Disclosure Statement (2 Pgs.)
- Form PTO/SB/08A
- Form PTO/SB/08B
- Cited References (B)

Respectfully submitted,



Andrew D. Fortney, Ph.D.; Reg. No. 34,600

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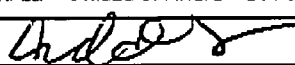
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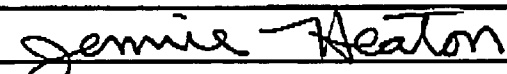
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TRANSMITTAL FORM		Application Number	10/789,317
		Filing Date	02-27-2004
		First Named Inventor	Klaus KUNZE et al.
		Art Unit	2811
		Examiner Name	
		Attorney Docket Number	IDR0020
(to be used for all correspondence after initial filing)			
Total Number of Pages in This Submission	49		

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	The Law Offices of Andrew D. Fortney, Ph.D., P.C.		
Signature			
Printed name	Andrew D. Fortney, Ph.D., P.C.		
Date	06-01-2006	Reg. No.	34,800

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Signature			
Typed or printed name	Jennie Heaton	Date	06-01-2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 38 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1480, Alexandria, VA 22313-1480.

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Atty. Docket No. IDR0020

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF:

:

Klaus KUNZE et al.

: GROUP ART UNIT: 2811

APPLICATION NO: 10/789,317

:

FILED:

02-27-2004

: EXAMINER:

FOR: Silane Compositions, Methods of Making the Same, Method for Forming a Semiconducting and/or Silicon-Containing Film, and Thin Film Structures Formed Therefrom

I hereby certify that this document is being facsimile transmitted to the USPTO or deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 1, 2006

By: Jennle Heaton
Jennle Heaton**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56 AND 1.97-98**MAIL STOP AMENDMENT
COMMISSIONER FOR PATENTS
ALEXANDRIA, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents listed on the attached form(s) for the Examiner's consideration. One copy of each of the listed documents, other than any U.S. patents, U.S. patent publications and/or pending U.S. applications, is submitted herewith.

This Information Disclosure Statement is being filed:

- ☒ concurrently with the application, within three months of the filing date of the application, or before the mailing date of a first Office Action on the merits;
- ☐ before the mailing of a first Office Action after the filing of a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114; or
- ☐ more than three months from the filing date of this application or after the mailing date of the first Office Action on the merits, but before the mailing date of the first to occur of either: (1) a final action under 37 C.F.R. § 1.113; (2) a Notice of Allowance under 37 C.F.R. § 1.311; or (3) an action that otherwise closes prosecution in the application.

Atty. Docket No. IDR0020
Application No: 10/789,317

Therefore:

- ☒ No Statement under 37 C.F.R. § 1.97(c) or fee under 37 C.F.R. § 1.17(p) is required.
- ☐ The fee under 37 C.F.R. § 1.17(p) accompanies this submission.

Statement under 37 C.F.R. § 1.97(c) (if applicable):

- ☐ Each item of information in the accompanying list(s) was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information in the accompanying list(s) was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained herein was known to any individual designated in 37 C.F.R. § 1.56(e) more than three months prior to the filing of this Information Disclosure Statement.

Nothing in this Information Disclosure Statement or its submission shall be construed as an admission against interest in any manner, including as an admission or representation regarding: (i) the completeness of the list of information, (ii) the status of any listed information or document as prior art, (iii) any search that may or may not have been made, (iv) the pertinence or relevance of any listed information to this application, (v) the possible or actual materiality of the listed information to the patentability of the claims of this application, or (vi) the existence or nonexistence of other (potentially) material information. Applicant does not waive any right to take any action that would appropriate to antedate or otherwise remove any listed information or document as a competent or available reference against the claims of the present application.

Respectfully submitted,



Andrew D. Fortney, Ph.D.
Reg. No. 34,600

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	10/789,317		
		Filing Date	02-27-2004		
		First Named Inventor	Klaus KUNZE et al.		
		Art Unit	2811		
		Examiner Name			
Sheet	2	of	2	Attorney Docket Number	IDR0020

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/EMJ/	DA	Yutaka KITSUNO, Kotaro YANO, Akira SAKAWAKI and Keiji KAWASAKI; Method for Forming Poly Crystal Silicon Film; Patent Abstracts of Japan; February 14, 1997; Publication No. 09049922 A; Japanese Patent Office, Japan	
/EMJ/	DB	Kotaro YANO, Yutaka KITSUNO, Akira SAKAWAKI and Keiji KAWASAKI; Formation of Silicon Membrane; Patent Abstracts of Japan; October 17, 1995; Publication No. 07267821 A; Japanese Patent Office, Japan	
/EMJ/	DC	Kotaro YANO, Yutaka KITSUNO, Shoichi TAZAWA and Keiji KAWASAKI; Higher Order Silane Containing Solution for Forming Silicon Film; Patent Abstracts of Japan; July 12, 1994; Publication No. 08191821 A; Japanese Patent Office, Japan	
/EMJ/	DD	Yukio NISHIMURA, Hiroshi MATSUDA, Masahiro HARUTA, Yutaka HIRAI, Takeshi EGUCHI and Takashi KATAGIRI; Deposition Film Forming Method; Patent Abstracts of Japan; December 2, 1985; Publication No. 80242612 A; Japanese Patent Office, Japan	

Examiner Signature	/Edward M. Johnson/ (09/30/2007)	Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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